

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(F) AND 1.27(D)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION **GMD Forschungszentrum Informationstechnik GmbH**  
 ADDRESS OF ORGANIZATION **Schloss Birlinghoven, 53754 Sankt Augustin, Germany**  
 TYPE OF ORGANIZATION  
☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c) (3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
 (NAME OF STATE \_\_\_\_\_)  
 (CITATION OF STATUTE \_\_\_\_\_)  
☒ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
 (NAME OF STATE \_\_\_\_\_)  
 (CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with regard to the invention entitled

**Input device for control signals for controlling the movement of an object represented on a display and graphic display having said input device**

by inventor **Bernd Froehlich et al.** described in

- ☐ the specification filed herewith  
☒ application serial no PCT/EP99/06494, filed September 3, 1999  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).  
 \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

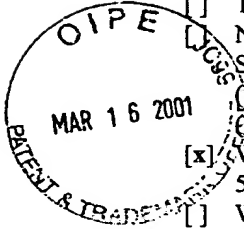
NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL      ☐ SMALL BUSINESS CONCERN      ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37CFR 1.28(b))

I hereby declare that I understand the English language.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Prof. Dr. D. Tschrititz Dr. H.-G. Sundermann  
 TITLE IN ORGANIZATION Chairman of the Executive Board Vicechairman of the Executive Board  
 ADDRESS OF PERSON SIGNING Hauptstrasse 282a, 53639 Königswinter Am Schlehdorn 1, 53343 Wachtberg  
 SIGNATURE [Signature] DATE 05. Dezember 2000



097633174-043001

Applicant or Patentee: GMD Forschungszentrum Informationstechnik GmbH  
Serial or Patent No.:  
filed or Issued:  
For:

Attorney's  
Docket No:

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

**Input device for control signals for controlling the movement of an object represented on a display and graphic display having said input device**

described in

- ☐ the specification filed herewith  
☒ application serial no. PCT/EP99/06494 filed September 3, 1999  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization  
☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME **GMD Forschungszentrum Informationstechnik GmbH**  
ADDRESS **Schloss Birlinghoven, 53754 Sankt Augustin, Germany**

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

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Bernd Froehlich

  
John Plate

December 22, 2000

December 22, 2000

Date

Date

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT AND DESIGN APPLICATIONS

ATTORNEY DOCKET NO.

PLEASE NOTE:  
YOU MUST  
COMPLETE THE  
FOLLOWING:

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:\*

Insert Title

Input device for control signals for controlling the movement of an object  
represented on a display device and graphic display having said input device

Check Box If  
Appropriate -  
For Use Without  
Specification  
Attached

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United  
States Application Number \_\_\_\_\_ or  
PCT International Application Number PCT/EP99/06494 on September 3, 1999  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s)

(Number)	(Country)	(Month/Day/Year Filed)	Priority Claimed
198 40 939.7	Germany	September 8, 1998	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Month/Day/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Month/Day/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Month/Day/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Month/Day/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More Than 12 Months (6 Months for Designs) Prior To The Filing Date of This Application:

Country

Application No.

Date of Filing (Month/Day/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number)

(Filing Date)

(Status — patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status — patented, pending, abandoned)

I hereby appoint the following attorneys to prosecute this application and/or an international application based on this application and to transact all business with the Patent and Trademark Office connected therewith in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the attorneys identified below, unless the inventor(s) or assignee provides said attorneys with a written notice to the contrary:

RAYMOND C. STEWART (Reg. No. 21,066)  
 JOSEPH A. KOLASCH (Reg. No. 22,463)  
 JAMES M. SLATTERY (Reg. No. 28,380)  
 CHARLES GORENSTEIN (Reg. No. 29,271)  
 LEONARD R. SVENSSON (Reg. No. 30,330)  
 MARC S. WEINER (Reg. No. 32,181)  
 JOE MCKINNEY MUNCY (Reg. No. 32,334)  
 C. JOSEPH FARACI (Reg. No. 32,350)

TERRELL C. BIRCH (Reg. No. 19,382)  
 ANTHONY L. BIRCH (Reg. No. 26,122)  
 BERNARD L. SWEENEY (Reg. No. 24,448)  
 MICHAEL K. MUTTER (Reg. No. 29,680)  
 GERALD M. MURPHY, JR. (Reg. No. 28,977)  
 TERRY L. CLARK (Reg. No. 32,644)  
 ANDREW D. MEIKLE (Reg. No. 32,868)  
 ANDREW F. REISH (Reg. No. 33,443)

Send Correspondence to: **BIRCH, STEWART, KOLASCH AND BIRCH, LLP**

P.O. Box 747

Falls Church, Virginia 22040-0747

Telephone: (703) 205-8000

Facsimile: (703) 205-8050

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor:  
 Insert Name of Inventor  
 Insert Date This Document Is Signed

Insert Residence  
 Insert Citizenship

Insert Post Office Address

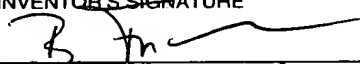
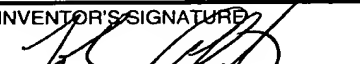
Full Name of Second Inventor, if any:  
 see above

Full Name of Third Inventor, if any:  
 see above

Full Name of Fourth Inventor, if any:  
 see above

Full Name of Fifth Inventor, if any:  
 see above

\*Note: Must be completed  
 — date this document is signed.

GIVEN NAME <u>Bernd</u>	FAMILY NAME <u>Froehlich</u>	INVENTOR'S SIGNATURE 	DATE* <u>12/22/2000</u>
Residence (City, State & Country) <u>53225 Bonn, Germany DEX</u>		CITIZENSHIP <u>German</u>	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country) <u>Johannesstrasse 18, 53225 Bonn, Germany</u>			
GIVEN NAME <u>John</u>	FAMILY NAME <u>Plate</u>	INVENTOR'S SIGNATURE 	DATE* <u>12/22/2000</u>
Residence (City, State & Country) <u>51063 Koeln, Germany</u>		CITIZENSHIP <u>German</u>	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country) <u>Am Wolfsbach 48, 53229 Bonn, Germany</u> <del>Muenzstrasse 6, 51063 Koeln, Germany</del>			
GIVEN NAME	FAMILY NAME	INVENTOR'S SIGNATURE	DATE*
Residence (City, State & Country)		CITIZENSHIP	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)			
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